The Dual-Zone Therapeutic Concept of Managing Immediate Implant Placement and Provisional Restoration in Anterior Extraction Sockets

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ABSTRACT

Improvements in implant designs have helped advance successful immediate anterior implant placement into fresh extraction sockets. Clinical techniques described in this case enable practitioners to achieve predictable esthetic success using a method that limits the amount of buccal contour change of the extraction site ridge and potentially enhances the thickness of the peri-implant soft tissues coronal to the implant-abutment interface. This approach involves atraumatic tooth removal without flap elevation, and placing a bone graft into the residual gap around an immediate fresh-socket anterior implant with a screw-retained provisional restoration acting as a prosthetic socket seal device.

For more than 2 decades, the clinical protocol for immediate anterior implant placement into fresh extraction sockets has evolved from a two-stage protocol with full-thickness flaps\(^1,2\) to a one-stage protocol\(^3\)—often flapless\(^4,5\) and sometimes with an immediate provisional restoration placed at the same appointment without compromising implant survival rates.\(^6,8\) This evolution was facilitated by improvements in the macro- and micro-geometry of implant designs and their respective restorative components as well as validation from animal\(^9,10\) and human\(^11,12\) clinical research.

The ongoing challenge for clinicians utilizing immediate anterior implant placement protocols today is no longer just achieving osseointegration, the rates of which are extremely high.\(^13,14\) Instead, the challenge is improving on protocols that allow for less traumatic, more time-efficient and yet highly predictable esthetic treatment outcomes in the more demanding anterior region.\(^15-18\) The main determinants to achieving long-term esthetic predictability in this environment are related to understanding and managing a complex combination of clinical and biologic factors, as follows:

1. diagnosis and classification of the extraction site in the esthetic zone
2. the natural biologic modeling and remodeling of extraction sockets
3. the relationship of underlying bone to the overlying soft-tissue profile and their stability
4. the relationship of labial and vertical soft-tissue thickness and height around implant-supported restorations
5. site-specific surgical protocols that minimize negative dimensional alteration of the site
6. restorative protocols and materials that optimize healing as well as soft-tissue stability and color

**DIAGNOSIS AND CLASSIFICATION**

Since not all extraction sites are the same, Salama and Salama\(^ {19}\) as well as Elian et al\(^ {20}\) suggested extraction site classification schemes that were meant to be utilized in developing site-specific treatment designs based on gingival margin level as well as the presence or absence of the labial and interproximal bone surrounding the compromised tooth to be extracted. Tarnow et al\(^ {21}\) and Salama et al\(^ {22}\) demonstrated the direct relationship of the position of the interproximal bone surrounding a tooth or implant, respectively, to the location and shape of the overlying papilla. Where deficiencies existed in any of the criteria listed above, they would be classified as Type II or Type III sites, depending on severity.\(^ {23}\) For such compromised sites, surgical augmentation protocols\(^ {24,25}\) and/or orthodontic site development \(^ {19}\) would be required to augment and reconstruct the lost hard and soft tissues prior to\(^ {26,27}\) or at the time of implant placement.\(^ {28,29}\) However, whenever the extraction socket is not compromised—with an esthetically acceptable mid-facial gingival margin position and a completely intact labial plate and interproximal bone levels—a Type I classification is rendered, demanding an emphasis on hard- and soft-tissue preservation protocols.

When a tooth is extracted, the dimensional change of height and width of the alveolar ridge will occur. The reduction of the height of the bony wall is more pronounced at the buccal than lingual aspect of the extraction socket.\(^ {30}\) This correlates to the amount of vertical loss of bone height, which is also more pronounced on the buccal wall. It has been well documented that major contour changes of the alveolar process take place during the first 6 months following tooth extraction. This results in the reduction of the width of the alveolar ridge and the vertical height.\(^ {31,34}\) This reduction interferes with the placement of dental implants and influences the success of the prosthesis with regard to esthetics. If the implant is not placed more to the palatal aspect and apical in the extraction socket to assure the proper emergence profile, the optimal esthetic outcome may be compromised.\(^ {24,35}\) This buccal bone resorption caused by excessive buccal placement of an implant into a fresh extraction socket may exaggerate these complications.\(^ {24,36}\) These esthetic complications are emphasized in patients with a thin periodontal phenotype, and an additional surgical intervention may be required to remediate the surrounding soft-tissue architecture before, during, or after implant placement.\(^ {28,29}\)

The dual zone is divided into two regions: the tissue zone and bone zone. The tissue zone is the labial-palatal dimensional change of the vertical region of tissue defined as ranging from the free gingival margin (0 mm) to the labial crest of bone mid-facially.
Fig 6. Periapical radiograph of tooth No. 9 showed a horizontal root fracture and distal open margin of the crown restoration. Fig 7. An acrylic “egg shell” using the Nealon technique was made and relieved on the palatal aspect maintaining the proximal contact areas and incisal position to allow complete seating over a screw-retained implant abutment. Fig 8. Sharp dissection of the supracrestal attachment fibers was performed with a 15c scalpel blade. The intrasulcular incision was made 360 degrees around the tooth. Tooth removal must be performed without flap incision or elevation. Fig 9. The proper size and shaped forceps was used to atraumatically remove the fractured root fragments. Fig 10. After thorough socket debridement and verification of an intact buccal plate, a 5-mm diameter threaded implant was placed to the palatal aspect of the extraction socket, leaving a buccal residual gap distance of about 2 mm. Fig 11. The implant-abutment interface was placed in a vertical spatial position coincident with the mid-facial crest of bone equivalent to the normal dentogingival complex.
(Figure 1 and Figure 2). The bone zone is the tissue apical to the osseous crest (Figure 3 and Figure 4). After tooth removal, implant placement, bone grafting, and provisional restoration, the contour of the ridge can change. This article provides a clinical example of a case that illustrates the use of these techniques to minimize contour change associated with immediate anterior implants.

SURGICAL- AND RESTORATIVE-SPECIFIC TISSUE PRESERVATION PROTOCOLS

The most critical surgical strategy for immediate implant placement and provisional restoration is atraumatic tooth removal without flap elevation—especially in the esthetic zone, where the buccal bone plate and soft tissues are thinnest in buccal-palatal dimension. The rationale for this procedure is to maintain the remaining blood supply from the periosteum and endosteum for maximum healing potential; the periodontal ligament is the third blood supply that is eliminated during tooth removal. If necessary, any of the anterior six teeth can be sectioned bucco-lingually with a surgical-length bur to achieve atraumatic removal without trauma to the buccal plate. The implant should be placed palatally and 3 mm to 4 mm apical to the free gingival margin (FGM) to optimize esthetics. Autogenous, allograft, xenograft, and synthetic bone replacements, substitutes, and/or materials can be used in the gap to graft the bone and tissue zones, respectively. The graft material helps serve as a scaffold to maintain hard- and soft-tissue volume as well as blood clot for initial healing. A recent article by Araújo, Linder, and Lindhe suggested that a xenograft material can be used in the gap and the particles can be incorporated into the soft-tissue profile without any inflammatory reaction. These incorporated particles provide substance to increase the soft-tissue profile.

The provisional restoration subsequently can act as a “prosthetic socket-sealing” device to protect, contain, and maintain the blood clot and bone-graft material during the healing phase of treatment. Table 1 outlines the treatment sequence and accompanying procedure for this clinical technique.

CASE PRESENTATION

A 48-year-old Caucasian female presented with an oblique root fracture of tooth No. 9 (Figure 5). The patient complained of slight swelling toward the palatal rugae area adjacent to the tooth. The

<table>
<thead>
<tr>
<th>TABLE 1</th>
<th>Treatment Sequence and Corresponding Appropriate Procedure for Immediate Implant Replacement, Bone Grafting, and Provisional Restoration</th>
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<tbody>
<tr>
<td>Treatment Sequence</td>
<td>Treatment Procedure</td>
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<tr>
<td>Fabricated provisional restoration acrylic egg shell</td>
<td>Make an irreversible hydrocolloid impression of tooth and paint-in acrylic resin using the “Nealon” technique.</td>
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<tr>
<td>Atraumatic tooth removal</td>
<td>Sever supracrestal fibers with 15c scalpel blade and extract tooth with appropriate forceps. Section the tooth (bucco-lingually for canine to canine, mesio-distally for first bicuspid) if necessary.</td>
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<tr>
<td>Clean residual debris from extraction socket and examine the socket</td>
<td>Use surgical spoon excavator or curette. Check for intact buccal plate (no more than 4 mm apically from FGM).</td>
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<tr>
<td>Spatial implant placement</td>
<td>Palatal placement within socket with implant head coincident or slightly apical to buccal osseous crest (3 mm to 4 mm from FGM).</td>
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<tr>
<td>Fabricate screw-retained provisional restoration</td>
<td>Place screw-retained provisional abutment and transfer tooth-formed shell with either acrylic resin or flowable composite, capturing the shape and contour of the residual extraction socket.</td>
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<tr>
<td>Place bone graft material</td>
<td>Remove fabricated provisional restoration, place a long flat healing abutment, and pack bone graft material into bone and tissue zones, respectively, between implant and buccal wall of the socket up to the FGM.</td>
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<tr>
<td>Re-insert provisional restoration</td>
<td>Provisional restoration acts as a prosthetic socket “seal” to protect, contain, and maintain blood clot plus bone graft material during the healing period of 4 to 6 months.</td>
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Fig 12. A screw-retained provisional abutment made of PEEK material was used; this provides strength and esthetics for an anterior implant restoration. Fig 13. The prefabricated “egg shell” provisional restoration was filled with autopolymerizing acrylic resin and relined over the PEEK implant abutment. Fig 14. The secured provisional restoration can be placed onto an implant laboratory analog, and the contact areas clearly identified with a red wax pencil instead of graphite, which can discolor the acrylic resin if inadvertently incorporated. Acrylic resin can be “painted on” to fill in voids and uncovered abutment surfaces to establish a properly contoured restoration. Fig 15. Definitive shaping/contouring of the provisional restoration performed with flame-shaped lab diamonds. Fig 16. The final “supportive” contour of the provisional restoration was verified intraorally and then polished with flour of pumice with a wet ragwheel. Extrinsic acrylic colorants can be applied to the surface above the FGM to enhance and match the contralateral central incisor. Fig 17. The definitive provisional restoration was fabricated prior to placing bone graft material into the bone and tissue zones, respectively. The provisional restoration must be made first, ensuring that the bone graft will not be contaminated during the fabrication procedure. Fig 18. The provisional restoration was removed and a flat contoured healing abutment placed to allow access to the gap for bone graft placement/packing. Fig 19. A xenograft material was sectioned into the appropriate size and hydrated with sterile saline water before placement into the gap.

periapical radiograph revealed a horizontal-oblique line coincident with the apical extent of the post-core restoration (Figure 6). An irreversible hydrocolloid impression (Jeltrate® Alginmate Impression Material, DENTSPLY Caulk, www.caulk.com) was made and an acrylic “egg-shell” was fabricated using the powder-liquid or Nealon technique. Excess material was trimmed accordingly to create the finalized provisional egg shell prior to relining (Figure 7). Sharp dissection of the supracrestal fibers was performed with a 15c scalpel blade, and the tooth was removed atraumatically with extraction forceps (Figure 8 and Figure 9). Due to the fracture, sectioning of the tooth was not needed. After socket debridement with a surgical excavator, a 5-mm-diameter threaded-design, and textured-surface implant (OSSEOTITE® Certain® FOSS, Biomet 3i, www.biomet3i.com) was placed toward the palatal aspect of the extraction socket to a depth of 3 mm from the FGM equivalent to the mid-facial osseous crest (Figure 10 and Figure 11). It is important to note that flap elevation was not necessary for tooth extraction or immediate implant placement, and the buccal bone plate was intact after tooth removal.

The appropriate screw-retained polyether-ether-ketone (PEEK) provisional abutment (PrefFormance®, Biomet 3i) was placed using the platform-switch concept during the provisional restoration phase, which was continued through to the definitive restoration (Figure 12). The acrylic egg shell was then filled with an autopolymerizing resin (Super T, American Consolidated Manufacturing Co.), seated spatially onto the abutment in the proper facial and vertical positions, and allowed to set prior to removal (Figure 13). It is critical to place enough acrylic resin material to secure the abutment mechanically and capture the subgingival contour of the soft-tissue profile; however, this must be done without engaging the undercut regions of the proximal contact areas, as doing so would make provisional removal extremely difficult and could potentially be harmful to the implant. Therefore, a minimum torque value of 30 to 35 Ncm should be achieved upon implant placement to allow immediate provisional restoration.

The refined provisional restoration was then disinfected and placed onto a laboratory analog/replica, the proximal contact areas were marked with a red wax pencil, and the subgingival contours were re-established with resin using a sable-haired brush (Figure 14). The lingual contour of the provisional restoration was shaped with a heatless stone using a straight mandrel on a low-speed handpiece. The marked proximal contact areas, which define the buccal extent of acrylic resin removal, must be respected. Enough resin must be left palatally to keep the provisional restoration intact, stable, and secure to the PEEK abutment. Appropriate laboratory diamond burs were employed to trim the final shape/contour of the provisional restoration (Figure 15). After polishing with flour of pumice, extrinsic acrylic colorants were applied to the provisional restoration surface; it should be noted that the surface colorants should be applied only...
to the supragingival area of the provisional restoration (Figure 16). The provisional restoration was re-placed onto the implant and assessed clinically using the following criteria: 1) the color and form of the tooth replaced must be correct; 2) the subgingival contours must be supportive of the soft-tissue profile; and 3) the tooth must be in infraocclusion in maximum intercuspal position and lateral excursive movements (Figure 17).

It is paramount that the provisional restoration be fabricated first, prior to the placement of the bone graft material, since the graft material must remain intact and uncontaminated during the procedure. The best way to achieve this is to remove the newly fabricated provisional restoration precisely before bone graft placement.

To accomplish this, a flat-contoured healing abutment was placed onto the implant after the provisional restoration was removed (Figure 18). A xenograft bone graft material (Bio-Oss®, Geistlich Pharma AG, www.geistlich.com) was used in this specific instance to graft the bone and tissue zones (Figure 19). The abutment must be tall and narrow enough to allow the bone graft to be placed and packed against it with an amalgam-condensing instrument up to the most coronal aspect of the FGM, thereby maximizing the amount of graft material that can be placed into the tissue zone (Figure 20). The flat-profiled healing abutment was then removed, leaving the bone graft material undisturbed (Figure 21), and the provisional restoration was successively re-placed onto the implant, tightened with finger pressure. The extruded excess bone graft material was removed to the FGM with a periodontal probe (Figure 22), and a posttreatment radiograph was taken. A periodontal curette could also have been used to accomplish this procedure.

The patient was instructed not to toothbrush the area for 3 days, and a postoperative examination was performed 1 week later. One- and 3-month follow-up visits were performed. At 5 months, a periapical digital film was taken to verify radiographic

**Fig 20.** An amalgam condenser was used to pack the bone graft material against the abutment into the gap, filling the bone and tissue zones. Note that the bone graft material occupies the tissue zone to the height of the FGM. **Fig 21.** The flat-profiled healing abutment was carefully removed; the graft material was left undisturbed during this process. **Fig 22.** The provisional restoration was re-placed onto the implant, acting as a “prosthetic socket seal,” and tightened with finger pressure using a handheld screwdriver. A periodontal probe tip was used to remove excess bone graft material to the apex of the FGM of the tissue zone. **Fig 23.** Periapical radiograph taken immediately after implant placement and provisional restoration. Note that the bone graft material is incorporated into the tissue zone, acting as a scaffold to support the ridge contour profile and peri-implant soft tissues. **Fig 24.** Five-months posttreatment healing was uneventful. The health of the periodontium was preserved with a minimum number of procedures and without risk of implant survival while maximizing the esthetic outcome through the strategy of tissue preservation. **Fig 25.** At first abutment disconnection, the non-keratinized sulcular epithelium was observed to be healthy, and particles of xenograft material can be seen within the peri-implant soft tissues. Excellent bucco-lingual volume seems to be coincident with the contour of the contralateral nontreated central incisor.
The corresponding lab replica/analog was inserted onto the transfer coping, allowing a soft-tissue cast to be made. Fig 27. The soft-tissue cast allowed a screw-retained custom abutment to be constructed with a gold alloy. The advantages of a custom abutment are controlling the soft-tissue profile with the abutment, not the crown, as well as the vertical depth of the abutment-crown interface within the tissue zone. This second interface must not be placed too deep within the implant sulcus, which would make cement removal impossible. Fig 28. The definitive metal-ceramic crown on the soft-tissue cast with the proper sub- and supragingival contours. Fig 29. The metal-ceramic crown and gold alloy abutment were gold plated with gold-plating solution. Fig 30. The gold-plated abutment was seated intraorally, ready for cementation of the definitive restoration. Note that gold plating an alloy abutment imparts a warm hue to the gingival tissues.
healing post-immediate implant and provisional restoration treatment (Figure 23). The provisional restoration was removed for the first time, and a healthy implant gingival sulcus was noted (Figure 24 and Figure 25). Subsequently, an implant-level transfer coping was attached for an open-tray impression, and pattern resin was used to capture the subgingival soft-tissue profile (Figure 26). A smaller diameter transfer coping (3 mm to 4 mm) was used to create a platform-switched restoration. The dental laboratory fabricated a soft-tissue cast that allowed a screw-retained gold alloy abutment for a cement-retained crown restoration to be constructed (Figure 27 and Figure 28). In order to control the correct shade of the gingival tissues and thereby eliminate the “gray effect,” the gold alloy abutment was gold plated (Dentsply® Gold Plating Solution, DENTSPLY) (Figure 29). The gold-plated custom abutment was inserted intraorally, and a duplicate cementing-die replica was fabricated using a bis-acryl material (Luxatemp® Ultra, DMG America, www.dmg-dental.com) prior to crown insertion (Figure 30). The fabrication of the cementing-die replica was done by lubricating the internal aspect of the crown, expressing the bis-acryl material into the crown, placing a metal die pin into the unset material, and then removing the die from the crown after polymerization (Figure 31). The crown could then be filled with a cementing medium (TempBond® NE™, Kerr Dental, www.kerrdental.com) and seated on the die replica, and the excess cement removed prior to intraoral seating of the restoration (Figure 32).

The clinical strategy and benefit of this technique is to allow the indirect removal of excess cement around the final crown. This clinical step is extremely important to avoid the potential for peri-implantitis due to residual cement left in the implant gingival sulcus. The definitive crown restoration can, thus, be cemented with confidence, respecting periodontal health (Figure 33). From a three-quarter occlusal intraoral view, there was little to no clinical indication of a contour difference between the untreated tooth No. 8 versus the treated tooth No. 9 (Figure 34). The 6-month posttreatment periapical radiograph showed good bone levels around the platform-switched implant tooth No. 9 (Figure 35).

**SUMMARY**

To achieve predictable esthetic success with immediate placement of implants into fresh anterior extraction sockets, the critical clinical keys that must be respected are: 1) atraumatic tooth removal without flap elevation; and 2) placement of a bone graft into the bone and tissue zones in the residual gap around an immediate fresh-socket implant, with a screw-retained provisional restoration acting as a prosthetic socket seal device. These clinical steps are helpful to limit the amount of buccal contour change of the extraction site ridge and potentially enhance the thickness of the peri-implant soft tissues coronal to the implant-abutment interface.
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